Atty. Dkt. No. 016887-1104

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Noboru NODA

Title:

SEMICONDUCTOR DEVICE AND METHOD OF

MANUFACTURING THE SAME

Appl. No.:

10/813,446

Filing Date: 03/31/2004

Examiner:

Unassigned

Art Unit:

2811

## <u>INFORMATION DISCLOSURE STATEMENT</u> **UNDER 37 CFR §1.56**

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

Document A1 teaches to enable the capacitance between a base and a collector to be reduced and a device to be speeded up by increasing the distance between a conductor for a base electrode lead-out and an epitaxial layer without extending an external base region in a bipolar transistor for leading out the emitter region from a region which is surrounded by the base electrode.

Document A2 discloses a semiconductor device with a bipolar transistor having good characteristics in radio frequency and noise, and a manufacturing method thereof.

The relevance of the foreign-language documents is described in the present specification. An English translation of the foreign-language documents are not readily available. However, an English language abstracts are provided herewith. Consequently, the foreign language documents has been submitted in compliance with the PTO requirements and, therefore, the documents should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted)

Date September 3, 2004

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 945-6162

Facsimile: (202) 672-5399

Pavan K. Agarwal Attorney for Applicant Registration No. 40,888

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

SIPE		or form 1449B		Complete if Known			
ري .	NFORMATI	ON DISCLO	SURE	Application Number	10/813,446		
	-STATEMEN	T RV APPLI	ICANT	Filing Date	03/31/2004		
EP 0 3 2004	Submitted	d. Santamba	r 3 2004	First Named Inventor	Noboru NODA		
	S Cubiline	a. Septembe	3, 2004	Group Art Unit	2811		
	se as many :	sheets as ne	ecessary)	Examiner Name	Unassigned		
STRUTEMA	1	of	1	Attorney Docket Number	016887-1104		

U.S. PATENT DOCUMENTS						
	0.11	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
-						

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Offic	Foreign Patent D	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup> ·
	A1	JP	4-294543	Α	NEC CORP.	10-19-1992		Abstr.
	A2	JP	11-233523	Α	TOSHIBA CORP.	08-27-1999		Abstr.
			· .					

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>		
	,				

	- i har	
Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.